

# Greenhouse Gases from Irrigated Rice Systems under Varying Severity of Alternate-Wetting and Drying Irrigation

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Rice (*Oryza sativa* L.) is normally grown under flooded conditions and is a significant source of methane ( $\text{CH}_4$ ). Alternate wetting and drying (AWD) is one practice which has shown promise to reduce  $\text{CH}_4$  emissions and global warming potential (GWP). Under AWD, the soil is allowed to dry periodically during the growing season. In this 2-yr field study, three different severities of drying were compared to a continuously flooded condition to quantify effects on rice yields, greenhouse gas emissions, GWP and yield-scaled GWP ( $\text{GWP}_Y$ ). The AWD treatments in order of increasing drying severity were: Safe-AWD ( $\text{AWD}_5$ ) where plots were reflooded when the perched water table fell 15 cm below the soil surface (volumetric water content of 41 to 44%); and  $\text{AWD}_{35}$  and  $\text{AWD}_{25}$  where plots were reflooded when the soil volumetric water content reached approximately 35 and 25%, respectively. Each of these treatments received two drying cycles (all occurring between 45 d after planting and heading). Grain yields and cumulative  $\text{N}_2\text{O}$  emissions (close to zero) did not vary significantly among treatments. The  $\text{AWD}_5$  reduced  $\text{CH}_4$  emissions by 41% and the  $\text{AWD}_{35}$  and  $\text{AWD}_{25}$  by 56 to 73% and 60 to 67%, respectively. Since only  $\text{CH}_4$  differed between treatments, AWD reduced GWP and  $\text{GWP}_Y$  by the same relative amount as  $\text{CH}_4$ . Increasing drying severity reduced  $\text{CH}_4$ , GWP and  $\text{GWP}_Y$  emissions up to a point ( $\text{AWD}_{35}$ ) but continued drying ( $\text{AWD}_{25}$ ) did not further reduce  $\text{CH}_4$  emissions. Given the high early season  $\text{CH}_4$  fluxes, drying earlier may result in greater reductions of  $\text{CH}_4$  in wet seeded rice systems but this requires further study as there may be negative effects such as increased  $\text{N}_2\text{O}$  emissions.

**Abbreviations:** AWD, alternate wetting and drying; GHG, greenhouse gas; GWP, global warming potential; VWC, volumetric water content.

Rice is normally grown under flooded conditions and is a significant source of atmospheric methane ( $\text{CH}_4$ ), a potent greenhouse gas (GHG). Rice accounts for 11% of non-carbon dioxide greenhouse gas (GHG) emissions from agriculture (Smith et al., 2007); primarily due to high  $\text{CH}_4$  emissions, as under flooded conditions the soil is anaerobic and conducive for methanogenesis (Conrad, 2002). Rice cultivation also results in  $\text{N}_2\text{O}$  emissions, a more potent greenhouse gas, but such emissions are generally low due waterlogged conditions (Cai et al., 1997). Due to the high  $\text{CH}_4$  emissions, the global warming potential (GWP;  $\text{CH}_4 + \text{N}_2\text{O}$ ) of rice is 2.5- to 5.5-fold higher than other major cereal crops (Linquist et al., 2012).

Therefore, GHG mitigation options need to focus on reducing  $\text{CH}_4$  while at the same time minimizing any increase in  $\text{N}_2\text{O}$  emissions. Furthermore, since rice is the staple crop for more than half the world's population, many of which are poor (Seck et al., 2012), mitigation options should not negatively impact grain yields. Thus, the goal here is one of sustainable intensification, whereby yields are increased or maintained while the environmental burden of the cropping

## Core Ideas

- Rice cultivation under flooded conditions is a significant source of methane.
- Increasing drying severity decreased methane by 41 to 71% compared to flooded conditions.
- Drying beyond a certain level did not result in further methane emission reductions.
- Co-management of nitrogen and drying time ensured that  $\text{N}_2\text{O}$  emissions remained low.
- Increasing drying severity resulted in no reduction in grain yields.

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system is reduced (Godfray et al., 2011). A good metric to assess sustainable intensification is “yield-scaled GWP” ( $GWP_Y$ ; van Groenigen et al., 2010); which is also referred to as GHG intensity (Mosier et al., 2006) and is the amount of  $CH_4$  and  $N_2O$  emitted per unit of yield. Since  $CH_4$  is the end product of organic matter decomposition under anaerobic conditions (Conrad, 2002), efforts to mitigate  $CH_4$  most often focus on management of carbon (usually residues or manure) or water (Yan et al., 2005). Given that rice cultivation also requires more water than most crops (Mekonnen and Hoekstra, 2011), identifying practices that could both reduce water use and reduce  $CH_4$  reductions without sacrificing yields presents an attractive option for achieving sustainable intensification.

Alternate wetting and drying (AWD) is one practice which has shown promise to reduce  $CH_4$  emissions and water use. Under AWD, the soil is allowed to become aerobic by introducing periodic drying cycles during the growing season. The practice of AWD has been shown to reduce  $CH_4$  emissions by 48 to 93% (Linguist et al., 2018; Yan et al., 2005; Qin et al., 2010) and water use by 23 to 33% (Carrizo et al., 2018; Farooq et al., 2009). Jiang et al. (2019) showed that with increasing drying severity (or increased number of drying events or number of drying days) during the AWD dry-downs,  $CH_4$  emissions decreased. Recently, in the United States, the American Carbon registry approved voluntary emissions reductions program for rice systems where rice farmers are given carbon credits for adoption of emission reducing practices, including AWD (<http://www.arb.ca.gov/cc/capandtrade/protocols/riceprotocol.htm>). In addition, AWD also shows potential to reduce both methyl-mercury (Tanner et al., 2018; Rothenberg et al., 2016) and arsenic concentrations in the grain (Carrizo et al., 2019; La Hue et al., 2016; Hu et al., 2013).

Rice soils maintained in a flooded state, usually have low  $N_2O$  emissions because nitrification and denitrification (both of which can produce  $N_2O$  [Klemetsson et al., 1988]) of mineral N is limited (Buresh et al., 2008). Furthermore, a large portion of the  $N_2O$  that is produced in anoxic, submerged rice soils is further reduced and emitted as  $N_2$  (Firestone and Davidson, 1989; Hou et al., 2000; Aulakh et al., 2001), which has no impact on atmospheric GHG levels. However, imposing AWD practices allow for nitrification and denitrification to occur, increasing the potential for higher  $N_2O$  emissions. The increase in  $N_2O$  emissions from AWD is usually not enough to reduce the overall effect of AWD in decreasing GWP because  $N_2O$  emissions are generally low (Linguist et al., 2015; Wassman et al., 2000a); although it is not always the case (Kritee et al., 2018; Lagomarsino et al., 2016).

Importantly, AWD has been shown to reduce GWP without reducing yields; however, it largely depends on how severe the soil is dried during the dry-down periods. Carrizo et al. (2017) conducted a meta-analysis on AWD systems and found that rice yields declined when the soil dried to below  $-20$  kPa or when the soil water table in the field fell below 15 cm below the soil level. Reflooding the field when the perched water table reached 15 cm below the soil level (referred to as “Safe-AWD”) or when the soil was above  $-20$  kPa resulted in no yield loss, as others have reported (e.g., Lampayan et

al., 2015). Safe AWD is a practice, which is widely and successfully adopted in Asian countries (Lampayan et al., 2015).

While the Jiang et al. (2019) meta-analysis provided insight into the effect of drying severity on  $CH_4$  and  $N_2O$  emissions, such analyses are based on many studies, which have different severity treatments. However, there are very few individual studies that have evaluated emissions across a range of drying severities and quantified the effect on emissions. Thus, the objective of this study was to examine a range of AWD drying severities on yields, and GHG emissions, GWP and  $GWP_Y$ . Specifically, we hypothesized that increasing AWD severity will result in decreasing yields and decreasing  $CH_4$  emissions. This hypothesis was tested over 2 yr in a field study which compared three AWD severities to a continuously flooded control.

## MATERIALS AND METHODS

### Site Description

A field study was conducted at the California Rice Experiment Station ( $30^{\circ}27'47''$  N,  $121^{\circ}43'35''$  W) in Biggs, CA, during the 2015 and 2016 growing seasons. Historically, this site has been grown to rice, and since 2012 this site has been used to study AWD in rice systems. Results from 2012 to 2014 have been published by LaHue et al. (2016), and treatment plots were randomized following those experiments. In all years since 2012, as is common practice, the rice straw remaining following harvest at the end of the growing season was incorporated into the soil and the field flooded during the winter to facilitate straw decomposition (Linguist et al., 2006). The soil at the study site is an Esquon-Neerdobe complex, classified as fine, smectitic, thermic, Xeric Epiaquerts and Duraquerts. The soil has 45% clay, 26% silt and 29% sand; a pH of 5.3; and an organic C and total N content of 1.06% and 0.08%, respectively (Pittelkow et al., 2012).

### Site Management and Treatments

A complete description of the study site and management practices are provided by Carrizo et al. (2018). In brief, following land preparation, each year a total of 171, 45, 25, and 2 kg N,  $P_2O_5$ ,  $K_2O$  and S, respectively was banded to a depth of 5 to 7 cm in the soil. The fertilizer was a blend of mono-ammonium phosphate, urea, ammonium sulfate and muriate of potash. Following fertilizer application, rice seeds (variety M-206) were broadcast onto the soil surface just before flooding (May 22 and May 26 in 2015 and 2016, respectively). All plots were managed identically (including pesticide control) during the growing season (Table 1), except for water management as described in the different treatments. Plots were harvested, using a small plot combine to determine grain yields and yields were adjusted to 14% moisture content.

In each year, the plots (0.3 ha) were allotted in a randomized complete block design with three replicates for each treatment. The plots were separated by two packed levees with an in-between drain ditch that was below the soil level and prevented water movement between the plots. In 2015, there were three water treatments: Continuously Flooded (CF-control), AWD<sub>35</sub> and AWD<sub>25</sub>. In 2016, an additional treatment, safe AWD (AWD<sub>S</sub>;

Lampayan et al., 2015) was added. The CF treatment was kept flooded (10–15 cm depth) throughout the growing season until ~3 wk before harvest when it was drained. In all AWD treatments there were two drying cycles implemented during each growing season. The first drying cycle began ~45 d after initial flood when all plots had reached a minimum of 60% canopy cover (this also corresponded to the onset of panicle initiation). The second drying cycle began approximately 1 wk after AWD<sub>25</sub> treatment was reflooded from the first drying cycle. In each drying cycle, water was allowed to subside via evapotranspiration and percolation until the average volumetric water content (VWC) of all replicate plots reached 35% and 25% for AWD<sub>35</sub> and AWD<sub>25</sub> treatments, respectively. In AWD<sub>5</sub>, water was allowed to subside until the average water table of all replicate plots reached 15 cm below surface.

Carrijo et al. (2017), based on a meta-analysis of AWD studies, found that details of water management and drying severity are often under reported in the literature, which makes it difficult to compare results among studies. Therefore, in this study soil moisture was quantified in several ways to allow for ease of comparison. Soil water measurement methodologies are fully described by Carrijo et al. (2018), but briefly, the VWC (0–15 cm) was measured throughout the season in all plots using soil moisture capacitance sensors (Decagon Devices 10HS, Inc., Pullman, WA). The 10-cm-long sensor probes were installed vertically in the soil, with their centers being positioned at 7.5-cm soil depth. The sensor had a volume of influence of 1 L, which spanned from 0.5- to 14.5-cm soil depth. Soil water potential was determined using electrical resistance sensors (Watermark 200SS, Irrrometer Co Inc., Riverside, CA) in which the 8.3-cm-long sensor probes were installed vertically in the soil, with their centers being positioned at 7.5-cm soil depth. Finally, the soil perched water table was measured using perforated tubes (Lampayan et al., 2015) and gravimetric water content (GWC, 0–15 cm) was measured from soil core samples. The timing of the drying cycles and the soil moisture measured just before reflood are provided in Table 2. All treatments were

**Table 1. Dates for key management practices and crop stages in each year.**

Practice	2015	2016
Fertilization	May 18	May 23
Sowing/Flooding	May 22	May 26
Canopy cover	July 1	July 11
50% heading	Aug. 11	Aug. 12
Pre harvest drain	Sept. 7	Sept. 19
Harvest	Sept. 30	Oct. 20

reflooded from the second drying cycle before 50% heading and the plots were kept flooded until 3 wk before harvest.

## Greenhouse Gas Measurements

Greenhouse gas measurements were performed using a static closed vented flux chamber technique. The chambers consisted of an open PVC base, extensions of variable lengths to accommodate growing plants and a sealed lid which was equipped with a vent tube, fan and thermocouple wire. The PVC base (29.5 cm diameter) was permanently inserted to a depth of 15 cm into the soil before sowing. The base had two holes just above the surface and four 11-cm diameter holes were drilled in the below ground portion of the chamber base to prevent the restriction of water and roots. Aboveground holes allowed for water movement between the inside and outside of the chamber. These holes were plugged with rubber stoppers during sampling when the water level was below the holes to ensure that the chambers were airtight. Boardwalks were used to reach the sampling locations to ensure minimal soil disturbance which can artificially inflate gas fluxes. To avoid the effects of intensive gas sampling on the growth of plants within each chamber, two chambers were allocated to each plot and sampling alternated between them.

The gas samples (20 mL) were taken at daily to weekly intervals during both growing seasons, with more frequent sampling during periods when emissions were likely to change rapidly (i.e., during drying and flooding events). Gas samples were taken at

**Table 2. Water management details for each year and alternate wetting and drying (AWD) treatments showing start (beginning when the soil had no standing water on top of it but it was saturated) and end (reflood) of each drying cycle. Soil (0-15 cm) moisture parameters just before reflood are volumetric water content (VWC), perched water table (PWT; 2016 only), soil water potential (SWP; 2016 only), and gravimetric water content (GWC; 2016 only).**

Treatment†	2015			2016					
	Start date	Reflood date	VWC %	Start date	Reflood date	PWT cm	VWC %	SWP kPa	GWC %
<b>First drying cycle</b>									
CF			47			+13	50	0	47
AWD <sub>5</sub>	–	–	–	July 12	July 15	–19	41	0	44
AWD <sub>35</sub>	July 7	July 16	30	July 12	July 19	–31	33	–32	29
AWD <sub>25</sub>	July 7	July 19	24	July 12	July 22	–38	28	–69	22
<b>Second drying cycle</b>									
CF			47			+13	50	0	47
AWD <sub>5</sub>	–	–	–	July 26	July 29	–20	44	0	42
AWD <sub>35</sub>	July 27	Aug. 3	33	July 26	Aug. 2	–34	31	–35	28
AWD <sub>25</sub>	July 27	Aug. 9	27	July 26	Aug. 5	–43	28	–73	22

† CF, continuously flooded; AWD<sub>5</sub>, “Safe-AWD” in which plots were reflooded when the perched water table fell 15 cm below the soil surface (volumetric water content of 41 to 44%); AWD<sub>35</sub>, plots were reflooded when the soil volumetric water content reached approximately 35%; AWD<sub>25</sub>, plots were reflooded when the soil volumetric water content reached approximately 25%. Data for the continuously flooded treatment (control) are season averages. There was no AWD<sub>5</sub> treatment in 2015.

21, 42, and 63 min between 10:00 to 13:00 h and were injected into pre-evacuated glass vials (12.5 mL). During each sampling event, four ambient samples were also taken at 0 min. To prevent leakage between sampling and analysis, each glass vial was sealed with a rubber septa and silicon.

All samples were analyzed for  $N_2O$  and  $CH_4$  on a GC-2014 gas chromatograph equipped with an electron capture detector and flame ionization detector (Shimadzu Scientific, Inst., Columbia, MD). The detection limits of the GC instrument were 0.203 ppm for  $CH_4$  and 0.010 ppm for  $N_2O$ . For quality assurance, standards were analyzed along with the samples and standards were inserted after every 10 samples. When the standard gas calibrations produced  $r^2 > 0.99$ , results from the GC were considered acceptable, and the peak area for each sample was converted to concentration using the calibration curve. Fluxes were estimated from the linear increase of gas concentration over time, and these were converted to an elemental mass per unit area using the Ideal Gas Law using the chamber volume measured at each sampling event, the chamber air temperature measured for each gas sample taken, and an atmospheric pressure of 0.101 MPa. Similar to other studies (LaHue et al., 2016; Linquist et al., 2015; Pittelkow et al., 2012), gas fluxes with a linear correlation below a predetermined threshold ( $r^2 = 0.9$ ) were treated as missing data, and those that were below the GC detection limits were set to zero flux for data analysis. Individual flux values were integrated across all time points using linear interpolation to calculate cumulative growing season emissions. Further details of the methodology used for gas sampling and analysis can be found in Adviento-Borbe et al. (2013).

## Data Analysis

The GWP was calculated using the radiating forcing potential relative to  $CO_2$ , which was 298 for  $N_2O$  and 25 for  $CH_4$  (IPCC, 2007). Yield-scaled GWP was calculated by dividing total GWP by the corresponding grain yield for each treatment. After checking data for normality and homogeneity, an analysis of variance on cumulative  $N_2O$ ,  $CH_4$  fluxes, GWP and  $GWP_Y$  using a general linear model was conducted using Minitab 18. Differences in emissions between treatments after treatments were initiated ( $\sim 45$  d after sowing) were also analyzed. Included in this analysis, the post-treatment cumulative  $CH_4$  emissions were analyzed using pre-treatment cumulative emissions as a covariate in the general linear model (ANCOVA in Minitab). Data that did not pass the normality were log transformed. Tukey's test was used to detect significant differences among the treatments ( $p < 0.05$ ). Graphs and tables present untransformed values.

## RESULTS

### Soil Conditions during the Drying Cycles

As is typical for this region of California, there was no rainfall during the dry-down periods. The duration of the drying periods in the AWD treatments from the day the perched water table was at the soil surface until the field was reflooded, varied from 3 d in AWD<sub>S</sub> (2016) to 13 d in AWD<sub>25</sub> (Table 2). From sowing to

maturity (pre-harvest drain), volumetric water content (VWC) in the 0- to 15-cm soil profile in the CF control averaged 47% in 2015 and 50% in 2016 (Table 2; time series in Supplemental Fig. S1). In both years, the average VWC in the AWD<sub>35</sub> and AWD<sub>25</sub> treatments just before reflooding was close to what was targeted and ranged from 30 to 33% in AWD<sub>35</sub> and 24 to 28% in AWD<sub>25</sub>. In 2016, when additional soil moisture measurements were taken, the soil water potential (SWP) was reduced to  $-32$  to  $-35$  kPa for the AWD<sub>35</sub> and  $-69$  to  $-73$  kPa for AWD<sub>25</sub>; and GWC was reduced to 28 to 29% for the AWD<sub>35</sub> and 22% for AWD<sub>25</sub>.

For the AWD<sub>S</sub> treatment (only in 2016), plots were reflooded based on the perched water table relative to the soil surface. Plots were reflooded when the perched water table was  $-19$  cm (first drying period) and  $-20$  cm (second drying period), which was slightly lower than the targeted value of  $-15$  cm (Table 2). The AWD<sub>S</sub> treatment did not reduce the SWP relative to the CF control; however, the VWC was reduced to 41 and 44%; the GWC reduced to 42 to 44%.

### Greenhouse Gas Emissions

Fluxes of  $CH_4$  were detected within 2 wk of soil flooding. In the CF treatment, in both years,  $CH_4$  emissions peaked approximately 1 mo (in late June) after the initial flood then declined in mid-July, followed by another smaller peak and then declined steadily over the rest of the season (Fig. 1). Daily  $CH_4$  fluxes peaked at roughly  $8000$  g  $CH_4-C$   $ha^{-1} d^{-1}$  in 2015 and  $6000$  g  $CH_4-C$   $ha^{-1} d^{-1}$  in 2016. In the AWD<sub>35</sub> and AWD<sub>25</sub> treatments,  $CH_4$  emissions declined to zero during the first drying period and stayed close to zero for the remainder of the season. In contrast,  $CH_4$  emissions in the AWD<sub>S</sub> declined during the drying periods but never reached zero, and following each reflood,  $CH_4$  emissions increased but never to the level of the CF treatment (Fig. 1).

Cumulative  $CH_4$  emissions varied between treatments within each year. In the CF treatments, the cumulative  $CH_4-C$  flux in 2015 ( $338$  kg  $CH_4-C$   $ha^{-1}$ ) was 56% higher than in 2016 (Table 3). While AWD treatments significantly reduced cumulative  $CH_4$  emissions relative to the control, in neither year were there significant differences between AWD treatments. In 2015,  $CH_4$  emissions were significantly reduced in both AWD treatments by 70%, on average, relative to the CF treatments. Similarly, in 2016, the AWD<sub>35</sub> and AWD<sub>25</sub> significantly decreased  $CH_4$  emission on average by 58%. Cumulative  $CH_4$  emissions in the AWD<sub>S</sub> was not significantly reduced relative to the CF treatment although they were 41% lower. All treatments presumably had similar GHG emissions until the onset of the first dry-down period since they were managed identically up until that point. Statistical analysis done on post-treatment cumulative emissions (from the first dry-down until the end of the season) using pre-treatment cumulative emissions (emissions prior to the first dry-down) as covariate indicated that the AWD<sub>S</sub> treatment significantly reduced  $CH_4$  emissions relative to CF but emissions were higher than AWD<sub>35</sub> and AWD<sub>25</sub> (Table 4). Cumulative emissions between the first dry-down and

end of season from the AWD<sub>35</sub> and AWD<sub>25</sub> were low (between 5 and 13 kg CH<sub>4</sub>-C ha<sup>-1</sup>).

Daily N<sub>2</sub>O emissions were low in both the years and ranged from -15 to 8 g N<sub>2</sub>O-N ha<sup>-1</sup> d<sup>-1</sup> (Fig. 2). At only four sampling events were N<sub>2</sub>O fluxes positive, and all of these occurred in 2015 and were mostly associated with the AWD<sub>25</sub> treatment but one of the positive N<sub>2</sub>O emissions was from the CF treatment. Given this, cumulative N<sub>2</sub>O emissions in each year were slightly negative and ranged from -0.03 to -0.13 kg N<sub>2</sub>O-N ha<sup>-1</sup> with no differences between treatments (Table 3).

### Grain Yield, GWP, and Yield-Scaled GWP

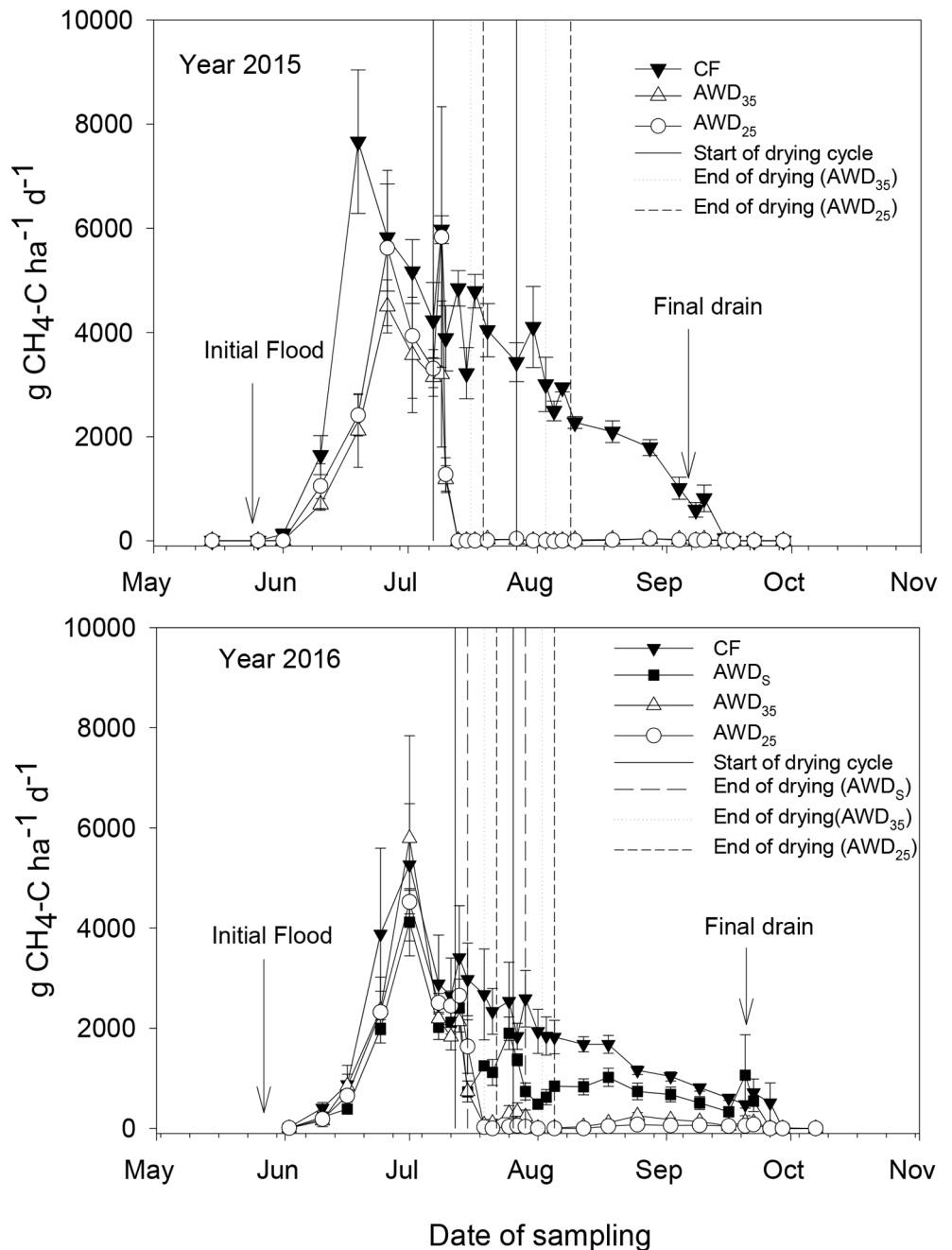
Rice grain yields were similar for all treatments and ranged from 13.8 and 14.1 Mg ha<sup>-1</sup> and 11.1 and 11.4 Mg ha<sup>-1</sup> in 2015 and 2016, respectively (Table 5). Since N<sub>2</sub>O emissions were low and similar among treatments, differences in GWP between treatments largely reflected differences in CH<sub>4</sub> emissions between treatments (Tables 3 and 5).

Similarly, GWP<sub>Y</sub> was largely a function of differences in CH<sub>4</sub> emissions between treatments because the yields and N<sub>2</sub>O emissions were similar among treatments. In the control treatments, GWP<sub>Y</sub> was 813 and 627 kg CO<sub>2</sub> eq Mg<sup>-1</sup> in 2015 and 2016, respectively. Using AWD<sub>35</sub> and AWD<sub>25</sub> reduced GWP<sub>Y</sub> by 70% in 2015 and 57% in 2016. The AWD<sub>5</sub> treatment in 2016 reduced GWP<sub>Y</sub> by 41%; while not significant based on seasonal emissions, CH<sub>4</sub> emissions were significantly reduced in this treatment based on emissions following the first dry-down (Table 4).

## DISCUSSION

### Methane Emissions

Methane emissions from the CF control varied between the 2 yr of this study with 2015 emitting 122 kg CH<sub>4</sub>-C ha<sup>-1</sup> season<sup>-1</sup> more than in 2016. Both values are higher than the average reported mean for California (163 kg CH<sub>4</sub>-C ha<sup>-1</sup>



**Fig. 1.** Daily CH<sub>4</sub> emissions for each year and treatment. Within each time period the actual drying time for each treatment varied (see Table 2). Error bars represent the standard errors.

season<sup>-1</sup> with 95% confidence levels around the mean being 115 and 213 kg CH<sub>4</sub>-C ha<sup>-1</sup> season<sup>-1</sup>) reported by Linquist et al. (2018) and higher than those reported by LaHue et al. (2016) at this same location. However, Pittelkow et al. (2014) also reported high cumulative CH<sub>4</sub> emissions (335 kg CH<sub>4</sub>-C ha<sup>-1</sup> season<sup>-1</sup>) from this site in a 2008 field study. The cause of annual year-to-year variation is uncertain; however, such observations are common in GHG field studies and the variation is often not possible to explain (Wassman et al., 2000b).

The high total CH<sub>4</sub> emissions, in both years, are due to high early season CH<sub>4</sub> fluxes which peaked at 6000 to 8000 g CH<sub>4</sub>-C ha<sup>-1</sup> d<sup>-1</sup>. Residues left in the field from the

**Table 3. Total seasonal CH<sub>4</sub> and N<sub>2</sub>O emissions for each season and treatment. Numbers in parentheses are the standard errors.**

Treatment†	Total CH <sub>4</sub> emissions		Total N <sub>2</sub> O emissions	
	CH <sub>4</sub> -C kg CH <sub>4</sub> -C ha <sup>-1</sup> season <sup>-1</sup>	CO <sub>2</sub> equivalent kg CO <sub>2</sub> eq ha <sup>-1</sup> season <sup>-1</sup>	N <sub>2</sub> O-N kg N <sub>2</sub> O-N ha <sup>-1</sup> season <sup>-1</sup>	CO <sub>2</sub> equivalent kg CO <sub>2</sub> eq ha <sup>-1</sup> season <sup>-1</sup>
<b>2015</b>				
CF	338 (15.4) a‡	11288 (516) a	-0.06 (0.01) a	-27 (5) a
AWD <sub>35</sub>	92 (13.7) b	3055 (458) b	-0.11 (0.06) a	-52 (28) a
AWD <sub>25</sub>	111 (16.2) b	3696 (542) b	-0.03 (0.03) a	-15 (15) a
<b>2016</b>				
CF	216 (51) a	7201 (1678) a	-0.10 (0.03) a	-45 (13) a
AWD <sub>5</sub>	128 (8) ab	4272 (270) ab	-0.09 (0.04) a	-41 (18) a
AWD <sub>35</sub>	96 (23) b	3218 (764) b	-0.04 (0.02) a	-18 (8) a
AWD <sub>25</sub>	87 (6) b	2902 (204) b	-0.13 (0.1) a	-62 (45) a

† CF, continuously flooded; AWD<sub>5</sub>, “Safe-AWD” in which plots were reflooded when the perched water table fell 15 cm below the soil surface (volumetric water content of 41 to 44%); AWD<sub>35</sub>, plots were reflooded when the soil volumetric water content reached approximately 35%; AWD<sub>25</sub>, plots were reflooded when the soil volumetric water content reached approximately 25%.

‡ For each year and column, means followed by the same letter are not significantly different at  $P < 0.05$ .

previous crop can increase CH<sub>4</sub> emissions the following season as they provide a carbon substrate for methanogenesis (Yan et al., 2005). Furthermore, early CH<sub>4</sub> emissions are often attributed to the decomposition of the previous crops residues (Chidthaisong and Watanabe, 1997). Thus, higher early season CH<sub>4</sub> emissions suggest that more residues were present at the start of the season due to either higher yields in the previous season (leading to higher straw residue; rice has a harvest index of approximately 0.5) or reduced decomposition of residues during the winter fallow period. Yields at this location in 2015 were among the highest recorded at this location (>13.5 Mg ha<sup>-1</sup>; Table 5); thus, high early season 2016 CH<sub>4</sub> emissions may be due to a greater amount of residue from that crop. However, yields in 2014 were similar to average yields at this location with all treatments yielding less than 11 Mg ha<sup>-1</sup> (LaHue et al., 2016). Thus, the higher CH<sub>4</sub> emissions during the early growing season of 2015 may be related to poorer straw decomposition during the winter fallow, leaving more straw carbon available for decomposition; however, it was not quantified. When straw was incorporated in the fall and fields flooded over the winter, Linqvist et al. (2006) found that approximately half the straw had decomposed by the end of the winter fallow period;

however it may vary depending on winter fallow climate conditions.

The AWD<sub>35</sub> and AWD<sub>25</sub> treatments reduced CH<sub>4</sub> emissions on average by 70 and 58% relative to the CF control in 2015 and 2016, respectively. These reductions are less than reported on based on a meta-analysis by Linqvist et al. (2018) for multiple AWD dry-downs in the United States (83%), but are closer to that reported by Yan et al. (2005) and more recently Jiang et al. (2019) which are global analyses. The reduction in CH<sub>4</sub> emissions is limited due to the higher than normal CH<sub>4</sub> fluxes early in the growing season (see discussion in previous paragraph) which all occurred before the AWD dry-downs began. Furthermore, it would not have been possible to reduce CH<sub>4</sub> emissions much more than observed here because CH<sub>4</sub> emissions after the first dry-down in both the AWD<sub>35</sub> and AWD<sub>25</sub> treatments were negligible (<13 kg CH<sub>4</sub>-C ha<sup>-1</sup>) (Table 4). Reducing CH<sub>4</sub> emissions beyond what was accomplished here would require drying fields earlier when CH<sub>4</sub> fluxes were highest. Recent studies (Faiz-ul Islam et al., 2018; Tariq et al., 2017) have demonstrated that drying earlier led to greater reduction in CH<sub>4</sub> emissions than drying mid-season. However, this practice may have drawbacks, such as increasing the potential for N<sub>2</sub>O emissions if preplant fertilizer N was applied (discussed below) or increasing weed pressure as the canopy would not be fully closed; however, it remains an excellent area for further research.

Safe-AWD (AWD<sub>5</sub>) is becoming an increasingly common practice in Asia (Lampayan et al., 2015). It has been shown to reduce water use and greenhouse gas emissions, while at the same time not impact yield (Liang et al., 2016; Pandey et al., 2014). In this study, we also show that AWD<sub>5</sub> reduced CH<sub>4</sub> emissions by 41%. Unlike the more severe AWD treatments (AWD<sub>35</sub> and AWD<sub>25</sub>), imposing AWD<sub>5</sub> never resulted in zero CH<sub>4</sub> emissions either during or after the drying events (Fig. 1); however, CH<sub>4</sub> emissions were significantly reduced after the initial drying event relative to the control (Table 4). The reason for this is that the soil water potential remained close to zero (saturated) during these dry-down events (Table 2) based on soil sensor readings. The soil sensors quantified soil water potential at roughly the 3- to 12-cm

**Table 4. Post-treatment (from the initiation of the first drying event to the end of the season) cumulative CH<sub>4</sub> emissions.**

Treatment†	Methane emissions	
	2015	2016
	— kg CH <sub>4</sub> -C ha <sup>-1</sup> season <sup>-1</sup> —	
CF	172 a‡	116 a
AWD <sub>5</sub>	NA§	62 b
AWD <sub>35</sub>	5 b	13 c
AWD <sub>25</sub>	6 b	10 c

† CF, continuously flooded; AWD<sub>5</sub>, “Safe-AWD” in which plots were reflooded when the perched water table fell 15 cm below the soil surface (volumetric water content of 41 to 44%); AWD<sub>35</sub>, plots were reflooded when the soil volumetric water content reached approximately 35%; AWD<sub>25</sub>, plots were reflooded when the soil volumetric water content reached approximately 25%.

‡ For each year and column, means followed by the same letter are not significantly different at  $P < 0.05$ .

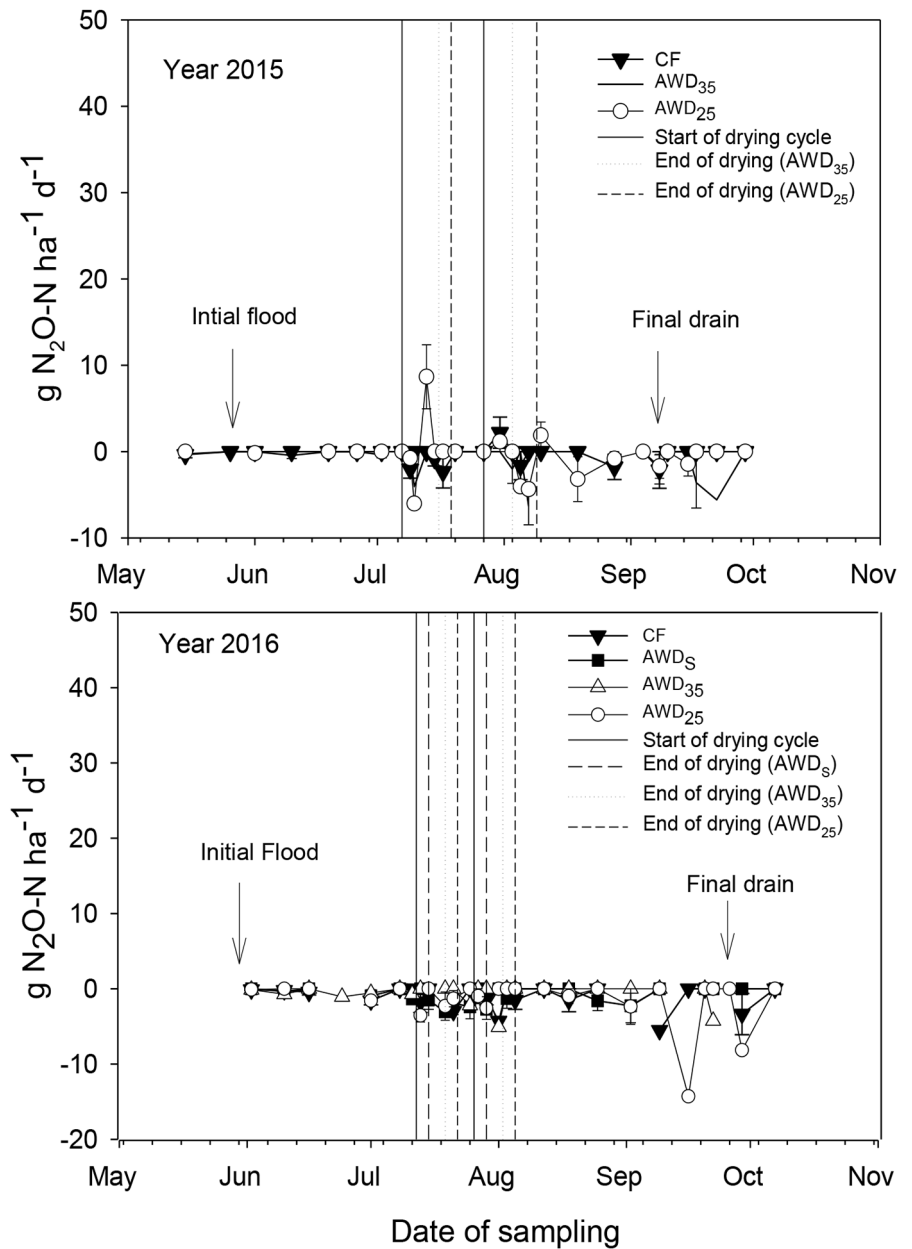
§ NA, not applicable.

soil depth; however, the soil GWC (which included the surface soil) and VWC was lower in the AWD<sub>S</sub> than in the control. Thus, the reduction in CH<sub>4</sub> emissions may be due to soil in the top few centimetres of this treatment becoming aerobic during the drying events. Lampayan et al. (2015) also found that with Safe-AWD, soil water potential could remain close to saturation, but this depended on soil characteristics.

From this study we found that increasing the severity of AWD reduced CH<sub>4</sub> emissions to AWD<sub>35</sub>; however, drying the soil longer to AWD<sub>25</sub> had no further benefit in reducing CH<sub>4</sub> emissions. Similar results were reported by Linquist et al. (2015), where drying further did not reduce CH<sub>4</sub> emissions but did decrease yields. Interestingly, in this same study, further drying also did not result in further reductions to grain arsenic uptake (Carrizo et al., 2018). Such information is critical in that increased drying will eventually lead to decreased grain yields (Carrizo et al., 2017; Bouman and Tuong, 2001), but will not necessarily lead to further environmental or health benefits.

### Nitrous Oxide Emissions

Generally, N<sub>2</sub>O emissions are low in anoxic, submerged rice soils as most of the N<sub>2</sub>O that is produced is further reduced and emitted as N<sub>2</sub> (Firestone and Davidson, 1989; Hou et al., 2000; Aulakh et al., 2001), which has no impact on atmospheric GHG levels. One concern with implementing AWD is that it imposes soil conditions that favor N<sub>2</sub>O emissions. Drying a soil and then reflooding it results in favorable conditions for nitrification and subsequent denitrification on flooding (Buresh et al., 2008). Both of these processes can result in the release of N<sub>2</sub>O gas (Klemetsson et al., 1988; Dobbie et al., 1999). A number of studies have reported increased N<sub>2</sub>O emissions as a result of AWD (Jiang et al., 2019; Kritee et al., 2018; Tariq et al., 2017; Linquist et al., 2015; Lagomarsino et al., 2016). In most cases, however, the reduction of CH<sub>4</sub> emissions more than offset the increased N<sub>2</sub>O emissions leading to lower GWP in AWD (Jiang et al., 2019; Wassman et al., 2000a). Here we found no increase in N<sub>2</sub>O emissions as a result of AWD, in fact N<sub>2</sub>O emissions were close to zero or negative in all treatments. Negative N<sub>2</sub>O fluxes suggest N<sub>2</sub>O uptake which can be significant in rice fields (Majumdar, 2013). LaHue et al. (2016) also reported low N<sub>2</sub>O emissions with AWD and attributed the low emissions to the low amount of mineral N in the soil at the time of drying. Delaying the



**Fig. 2.** Daily N<sub>2</sub>O emissions for each year and treatment. Within each time period the actual drying time for each treatment varied (see Table 2). Error bars represent standard errors.

initial dry-down until 6 or 7 wk after planting (when the fertilizer N was applied), ensures that the N fertilizer has been taken up and thus little mineral N remains in the soil to undergo nitrification and denitrification. Critically, as reported by several authors (Kritee et al., 2018; Lagomarsino et al., 2016), if water and N inputs are not managed properly together (i.e., dry-downs occur when there are high amounts of mineral N present in the soil) N<sub>2</sub>O emissions can be high, negating any benefit of reduced CH<sub>4</sub> emissions.

### Yield, GWP, and Yield-Scaled GWP

Based on a meta-analysis, Carrizo et al. (2017) reported that yields did not decline under mild AWD treatments (such as the AWD<sub>S</sub> in this study); however, yields did decline when the drying became more severe. In contrast, despite a wide variation in the severity of the AWD drying treatments, none of the

**Table 5. Grain yield, global warming potential (GWP), and yield-scaled GWP for each season and treatment. Numbers in parentheses are the standard errors.**

Treatment†	Yield	GWP	Yield-scaled GWP
	Mg ha <sup>-1</sup>	kg CO <sub>2</sub> eq ha <sup>-1</sup> season <sup>-1</sup>	kg CO <sub>2</sub> eq Mg <sup>-1</sup> season <sup>-1</sup>
<b>2015</b>			
CF	13.8 (0.09) a‡	11262 (511) a	813 (32) a
AWD <sub>35</sub>	13.5 (0.15) a	3003 (441) b	222 (32) b
AWD <sub>25</sub>	13.7 (0.43) a	3681 (531) b	271 (48) b
<b>2016</b>			
CF	11.4 (0.08) a	7156 (1675) a	627 (148) a
AWD <sub>5</sub>	11.4 (0.18) a	4231 (278) ab	371 (26) ab
AWD <sub>35</sub>	11.4 (0.09) a	3201 (757) b	280 (64) b
AWD <sub>25</sub>	11.1 (0.28) a	2840 (222) b	256 (19) b

† CF, continuously flooded; AWD<sub>5</sub>, “Safe-AWD” in which plots were reflooded when the perched water table fell 15 cm below the soil surface (volumetric water content of 41 to 44%); AWD<sub>35</sub>, plots were reflooded when the soil volumetric water content reached approximately 35%; AWD<sub>25</sub>, plots were reflooded when the soil volumetric water content reached approximately 25%.

‡ For each year and column, means followed by the same letter are not significantly different at  $P < 0.05$ .

treatments in this study reduced grain yields (Table 5). Carrijo et al. (2018) suggested that this may be due to the presence of rice roots near the shallow water table at this location. Based on this, they suggested that improved site-specific understanding of soil hydrology and rooting depth would potentially allow the practice of AWD to expand into areas where more severe dry-downs could be used, thus optimizing benefits.

In the CF control, the GWP (sum of CH<sub>4</sub> and N<sub>2</sub>O emissions in CO<sub>2</sub> equivalents) ranged between 7,200 and 11,300 kg CO<sub>2</sub> eq ha<sup>-1</sup> season<sup>-1</sup> (Table 5). These values are double to triple the average value for rice systems estimated by Linquist et al. (2012) and are high due to the high CH<sub>4</sub> emission from this location in these years as discussed earlier. However, given the high yields, the GWP<sub>Y</sub> of 627 to 813 kg CO<sub>2</sub> eq Mg<sup>-1</sup> season<sup>-1</sup> was comparable to average rice systems (Linquist et al., 2012). The AWD treatments reduced GWP and GWP<sub>Y</sub> by roughly the same relative amount as the change in CH<sub>4</sub> emissions because N<sub>2</sub>O emissions (Table 3) and yields (Table 5) were similar across treatments. GWP<sub>Y</sub> was reduced by 57 to 70% in the two more severe AWD treatments (with no difference between them) and by 41% in the AWD<sub>5</sub> treatment. This reduction in GWP<sub>Y</sub> due to AWD was greater (especially for the more severe AWD treatments) than reported for two drying events (31% reduction) based on a global meta-analysis by Jiang et al. (2019) which may be due to the fact that the drying severities in this study were greater than conducted for most AWD studies.

Importantly when considering sustainable intensification goals, the reductions in GWP<sub>Y</sub> occurred without a reduction in grain yields. Thus, AWD can represent a win-win scenario in which there is no reduction in yields but significant environmental gains. Furthermore, Carrijo et al. (2018) also pointed out that AWD<sub>35</sub> and AWD<sub>25</sub> both reduced total grain arsenic by 56 to 68%.

## CONCLUSIONS

We found, as have others, that AWD is an effective practice which results in lower CH<sub>4</sub> emissions and GWP from flooded rice fields. Importantly, despite drying soils longer than the considered “safe” level, yields were not reduced, highlighting the importance of understanding soil hydrology and rooting patterns in a particular cropping system. Second, drying beyond a certain limit did not result in greater CH<sub>4</sub> reductions. Third, with careful management of both nitrogen and drying time, N<sub>2</sub>O emissions were negligible. Finally, we hypothesize that CH<sub>4</sub> reductions could have been reduced further by implementing the drying phases earlier in the season when CH<sub>4</sub> emissions were at their peak, but early drying may have other negative effects and requires further study.

## SUPPLEMENTAL MATERIAL

Supplemental material is available with the online version of this article.

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